RATIONALIST EXPLANATIONS FOR WAR

BY JAMES D. FEARON

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- why a rational actor would choose war as the best option in a conflict with another nation
- Five rationalist arguments
 - Anarchy
 - Expected benefits greater than expected costs
 - Rational preventive war
 - Rational miscalculation due to lack of information
 - Rational miscalculations or disagreement about relative power

IMAIN ARGUMENT

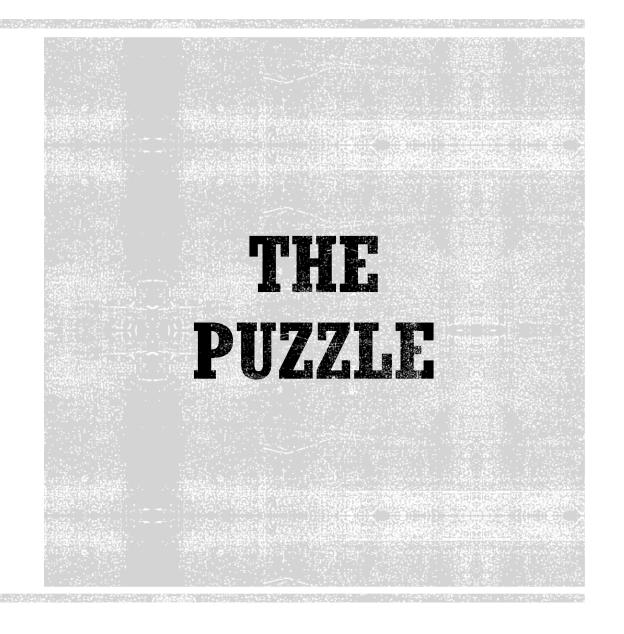


- •If the previous five explanations don't work:
 - Private information/incentives to misrepresent
 - Commitment problems
 - Issue indivisibilities

IMAIN ARGUMENT



- Unwanted v. wanted wars
 - Wanted = Pareto-efficient
 - Unwanted = resolvable & rare
- ex ante (before) v. ex post (after)
- "What prevents states in a dispute from reaching an ex ante agreement that voids the costs they know will be paid ex post if they go to war?" (Fearon 384)



Anarchy

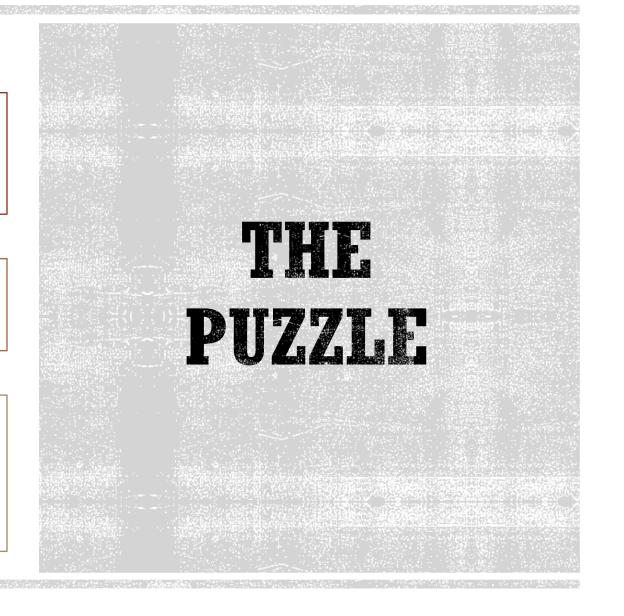
• Fundamental difference between domestic and international politics

Preventive War

Declining power

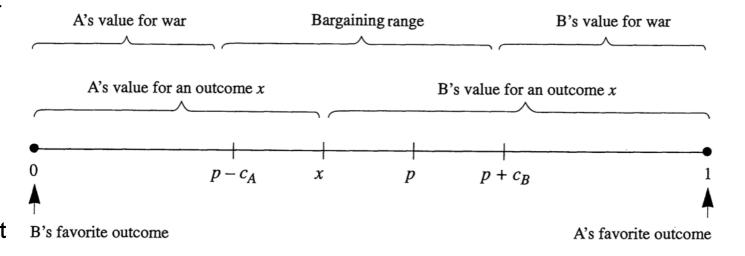
Positive expected utility

- Expected utility of war > expected utility of peace
- (Utility = expected benefits costs)



WHEN WILL THERE EXIST BARGAINS BOTH SIDES PREFER TO WAR?

- P = probability of state A prevailing
- x = proportion of all territory between
 A and B that is controlled by A
- p ca = A's expected utility for war
- p + cb = B's expected utility for war
- If a bargaining range exists, why might states fail either to locate or agree on an outcome within this range?





WAR DUE TO PRIVATE INFORMATION AND INCENTIVES TO MISREPRESENT

- Disagreements about relative power
 - Conflicts about likely outcome of military conflict
 - Emotional commitments
 - World is complex
 - Private information about militarily relevant factors
- Miscalculation of an opponent's willingness to fight
- incentives to misrepresent in bargaining
 - July Crisis of World War I



No principal-agent framework when it comes to anarchy

Preemptive war and offensive advantages

Preventive war as a commitment problem

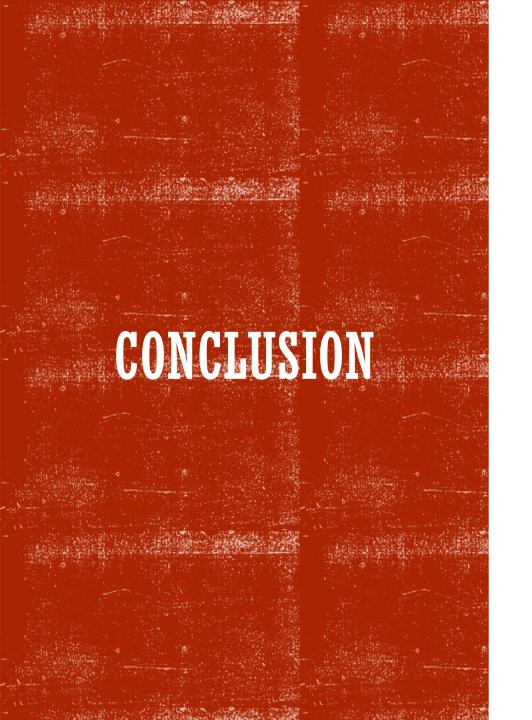
Commitment, strategic territory, and the problem of appeasement

There is no higher power to threaten leader with "jail" time or other kinds of repercussions

Since all power is vested in a single entity, that person could become suspicious and worried about other states

If territory is strategically vital or economically important, it'll radically increase one side's future bargaining leverage

WAR AS A CONSEQUENCE OF COMMITMENT PROBLEMS



- Two major claims:
 - Fighting is costly and risky implies that there should exist negotiated agreements that rational states would prefer to war
 - Why rational states are unable to agree on such bargain:
 - Combination of private information about resolve or capability and incentives to misrepresent these
 - States' ability in specific circumstances, to commit to uphold a deal

ATTACKING THE ATOM: DOES BOMBING NUCLEAR FACILITIES AFFECT PROLIFERATION

BY SARAH E. KREPS & MATTHEW FUHRMANN



- Discusses the debate on the efficacy of military force through historical record of attacks against nuclear programs
- Four theoretical mechanisms:
 - Delay target's ability to build by destroying facilities that are critical for bomb development
 - Could produce a change in fissile material production
 - Make foreign suppliers less willing to provide nuclear assistance
 - Enhanced international inspections

MAIN ARGUMENT

- Comprehensive analysis of 16 attacks against nuclear programs
 - 1942 to 2007
 - Peacetime as well as ongoing war
- Peacetime cases are ideal for evaluation both the direct and indirect mechanism

METHOD



HOW COULD **ATTACKS** AFFECT PROLIFERATORS' WEAPONS PROGRAMS?

- The direct effects
 - Delay the target's nuclear ambitions
 - The effectiveness of the raid is hard to estimate
 - Failed attacks could lead to states more motivated and creating measures making future raids more difficult
- The indirect effects
 - Alter state's priorities
 - Reduction in willingness of foreign suppliers to provide assistance
 - Do not want to be associated
 - Signals that someone else believes that the state in question is not developing energy, but building a bomb
- Enhances international inspections and safeguards



PEACETIME CASE STUDIES

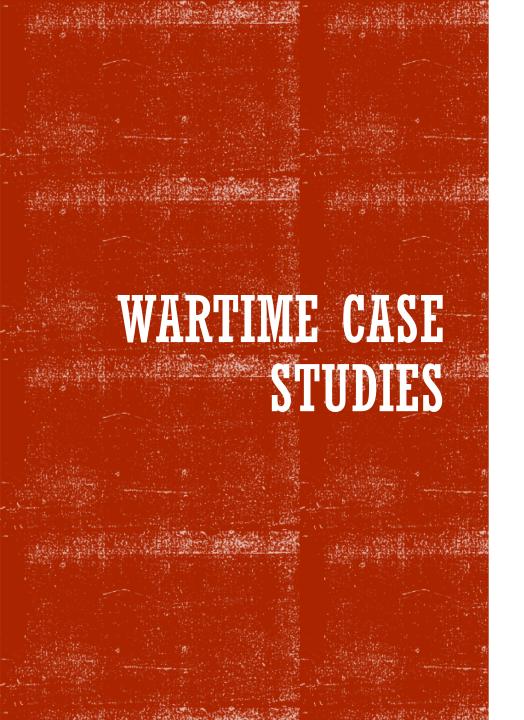
- Israeli attacks against Iraq's nuclear program, 1981
 - Series of covert and overt actions designed to delay the Iraqi nuclear program
 - Directly
 - One side: 1981 strike did little to affect program
 - Because light water reactor already took 10-30 years to produce enough plutonium for a bomb
 - Plus, the French supplier would have reported any illegal weapons activity
 - On the other side: strike successfully prevented Iraq from building a nuclear weapon
 - Indirectly:
 - Iraq shifted towards uranium enrichment, but didn't have the adequate knowledge
 - Shifted towards gas centrifuge technology, again, didn't have adequate knowledge
 - Saddam Hussein intensified support for the Iraqi program
 - Added additional scientists, increased financial investments, etc.
 - French was less likely to assist the program



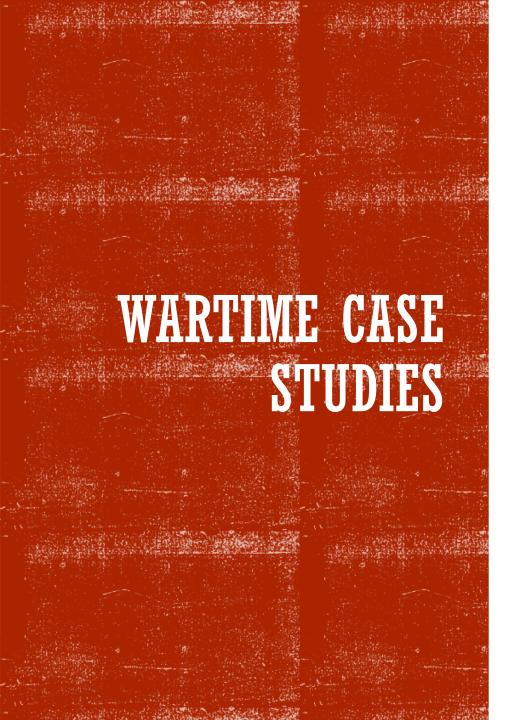
PEACETIME CASE STUDIES

- Israeli attack against Syria's nuclear program, 2007
 - Secret operation
 - Directly
 - Destroyed a Syrian reactor at Al Kibar which was in the early phases of development
 - Likely with assistance from North Korea
 - Well suited to plutonium production
 - Negated about six years of progress toward nuclear development
 - Indirectly
 - Triggered international investigations
 - Appears that because of the raid, North Korea is less likely to assist in Syria's nuclear program

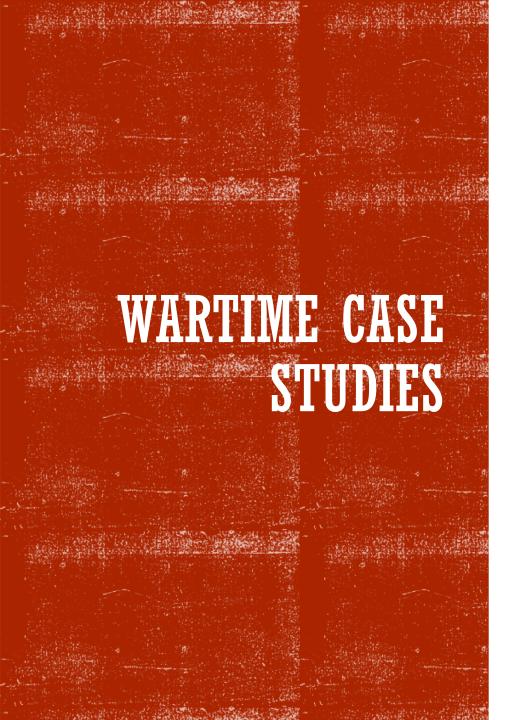




- Allied attacks against Germany's Nuclear Program, 1942-1945
 - Four separate attacks on the Norsk-Hydro heavy water facility in German-occupied Norway
 - Oct. 1942 failed
 - Feb. 1943
 - Royal Norwegian Army dressed in British uniforms raided facility
 - facility out of commission for about two months
 - Nov. 1943
 - Attacked facility through air
 - Shut down the facility for months
 - **1944**
 - Norwegian saboteur was tipped off by British intelligence
 - Intercepted and sank the ferry Hydro, which was transporting heavy water



- Iraqi attacks against Iran's nuclear program, 1984 –
 1988
 - During the Iran-Iraq War
 - 1980, Iranian F-4 Phantoms attacked Iraq's Osiraq plant en route home from a bombing raid
 - Failure caused little damage
 - 1984, Iraq raided Iran's Bushehr reactors
 - March 1984 through 1988
 - Total of seven strikes over 5 years
 - 1987 attack, necessitated nearly a complete reconstruction of the facilities



- US attacks against Iraq's Nuclear Program, 1991, 1993
 - 1991, during the Persian Gulf War
 - Mainly targeted EMIS and gas centrifuge enrichment programs
 - Destroyed several key chokepoint facilities
 - But other key facilities were not destroyed because the US was unaware of their existence/location
 - 1993, after the Gulf War, US again struck suspected nuclear facilities
 - Facility 409 produced power supplies for the EMIS project
 - Operational success

- The three indirect mechanisms are not likely to work
 - Tehran does not depend on external support to sustain military program
 - Currently receives civilian nuclear assistance from Russia, but effects of withdrawal unclear
 - Unlikely that an attack would lead to a change in Iran's fissile material production strategy
 - Already relies on centrifuge enrichment technology,
 which is easier to hide
 - Could possibly have an effect through inspections
 - But not likely example: Syria; gave IAEA additional access, but still has not committed to the AP
 - Direct, physical destruction would be the main route

CONCLUSION: THE POSSIBLE EFFECT OF AN ATTACK AGAINST IRAN'S NUCLEAR PROGRAM

- Whether tools other than force can provoke the same mechanisms
- Why countries choose force to oppose proliferation
- Why strikes are relatively infrequent even if most view nuclear proliferation as the greatest threat to national security

CONCLUSION: POSSIBLE FUTURE RESEARCH